

# Analysis of General and Specific Standardization Developments in Additive Manufacturing From a Materials and Technological Approach

AMABEL GARCÍA-DOMÍNGUEZ<sup>ID</sup>, JUAN CLAVER<sup>ID</sup>, ANA MARÍA CAMACHO<sup>ID</sup>,  
AND MIGUEL A. SEBASTIÁN<sup>ID</sup>

Department of Manufacturing Engineering, Universidad Nacional de Educación a Distancia (UNED), 28040 Madrid, Spain

Corresponding author: Amabel García-Domínguez (amabel.garcia@invi.uned.es)

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**ABSTRACT** Additive manufacturing processes and products are very present in the current productive landscape, and in fact these technologies have been one of the most intensively studied and improved during the last years; however, there is still no defined and homogeneous regulatory context for this field. In this work, a thorough review of the main general and specific regulatory developments in design, materials and processes standards for additive manufacturing has been carried out, with special attention to the standards for mechanical characterization of polymer-based products. In many cases standards developed for other productive contexts are identified as recommended references, and some contradictory trends can be identified when different documents and previous experiences are consulted. Thus, as it is logical considering that all these technologies are involved in an intensive and continuous evolution process, there is a certain lack of clarity regarding the standards to be considered. This work aims to contribute to clarify the current standardization context in additive manufacturing and provide some guidelines for the identification of appropriate standards. The paper also emphasizes that the key for next regulatory developments in mechanical testing is to develop standards that consider particular AM processes along with materials. Moreover, a great gap between available standard about additive technologies based on metallic materials and polymer materials during the last years has been detected. Finally, the provided overview is considered of interest as support for research and practice in additive manufacturing, and both in intensive productive scenarios and for particular users and makers.

**INDEX TERMS** Standardization, additive manufacturing, ASTM, ISO.

## I. INTRODUCTION

Nowadays additive manufacturing is a consolidated reality. A significant number of very different technological alternatives are included under this category [1]; the materials used [2]–[4], the applications [5], [6], benefits and challenges are constantly increasing [7]–[9] and the corresponding processes are being deeply studied, not only from technological approaches, but also in relation to their role in future productive scenarios [10] and considering other aspects such

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as economic [11], [12], sustainability [13] or even security issues [14]. Additive manufacturing technologies are widely established both in our industries and in the collective knowledge of society. This special social acceptance of additive manufacturing, especially in regard to 3D printing with polymer materials, has also contributed to the significant rise of these technologies during last years, and today the inclusion of additive manufacturing issues in educational scenarios have been also strongly promoted [15], [16].

The validation of the products obtained through certain production processes represents their final adequacy and integration in the industrial field, in which the quality assurance

of the products is both a need and an objective. In that sense, it is worth asking whether products obtained through additive manufacturing can offer these guarantees today. The results obtained through these additive technologies are of great interest because of their new possibilities compared to traditional productive technologies and also the properties of their products demonstrate functional capacity in service; but it is necessary to demonstrate the real capacity to produce robust products of sufficient quality [7], [17] and standardization is the way to go [18].

However, the incorporation of these technologies into the productive field is still incipient and these technologies themselves are in constant evolution. In that context, and although great efforts are being made, the current standards to guide the standardization of these processes and their products is still scarce and insufficient. A clear example of this situation is the lack of standards for the mechanical characterization of the parts obtained with these technologies [19], [20]. For different materials, main general standards on additive manufacturing identify previous standards on test methods; but there is not always consensus on what those references should be and furthermore their applicability is relative in practice [21].

The great increase in access to additive technologies, especially the ones based on polymers and concretely FDM, means that a large volume of products outside the industrial framework are being used. Currently, polymer 3D printers are no longer strange items even in a home. And the parts produced in these domestic scenarios will be used exactly the same than those bought in a shop. Therefore, the ability of standards to validate products obtained with additive technologies must also be able to reach these particular contexts. Special situations, such as those experienced during the COVID-19 crisis [22], [23], and the urgent needs of certain devices have defined scenarios in which the productive capacity of the traditional industries is not flexible enough and these individual or domestic productive centers can have a key role. In that context, the agility and adaptability of additive manufacturing technologies and also the collective productive capacity of 3D printers has been proved [24]–[30], and the importance of guaranteeing the appropriate quality of the obtained products has been revealed.

Thus, in this work, a review of the currently available standards applicable to additive manufacturing technologies is carried out, with special attention to design and materials, identifying the appropriate test methods for the characterization of the pieces obtained through these processes. A characterization that, although it takes into account aspects of diverse nature, in practice focuses the development of regulations in the mechanical behavior of the pieces and in the appropriate tests to determine the associated values. To achieve this goal, an approach to the problem is made in 3 successive steps.

□ Analysis of the general standards on additive manufacturing.

□ Identification of the specific testing standards referred to in the general standards on additive manufacturing for tests with this type of products, paying special attention to tensile and compression tests.

□ Review of the existing scientific literature in this field to verify the coincidence or not of the standards used as a reference in those works with the ones identified in the previous step.

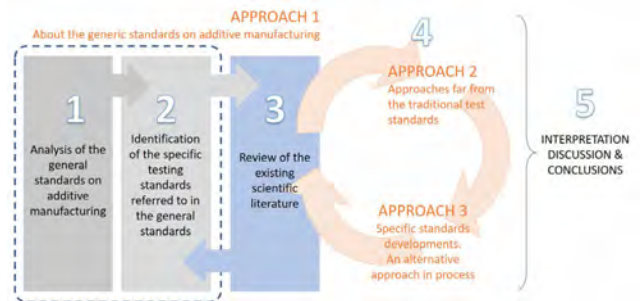


FIGURE 1. Work methodology.

Through these three successive steps the standards most commonly used for compression and tensile tests with plastic parts obtained by additive manufacturing are identified.

Additionally, other considerations and approaches are commented. Firstly, the anisotropic nature of additive manufacturing parts is analyzed, since their fabrication layer by layer carries a great influence of the manufacturing orientation. Moreover, the deposition of the material in each layer and the existing gaps between the beads are aspects which make the standards previously identified difficult to apply [19], [21], [31].

Secondly, cellular and lattice structures, as design strategies highly powered by additive manufacturing, are identified as scenarios of great interest on the field of additive manufacturing, but which are far from the standards identified as references for the mechanical characterization of these products in the standards of additive manufacturing [21], [32]–[34].

Finally, much more specific standardization initiatives are identified as the probably most viable approach for the development of standards on additive manufacturing issues, as opposed to the general standards on additive manufacturing identified, which applicability proves to be too relative and often limited. All these specific standards are analyzed and compared from different approaches. Their importance for different materials and processes is commented, and an overview of current standardization for additive manufacturing products and processes is proposed.

## II. ABOUT THE GENERIC STANDARDS ON ADDITIVE MANUFACTURING

### A. INITIAL APPROACH TO THE REGULATIONS ON ADDITIVE MANUFACTURING

When talking about the reference standards for the performance of mechanical tests, the two obligatory references are

the International Organization for Standardization (ISO) and the American Society for Testing and Materials (ASTM). These organizations elaborate the standards commonly used in this type of tests for the characterization of materials and parts. Within the families of standards that both organizations offer, regulations regarding additive manufacturing can be found.

In this sense, it is possible to make a first differentiation regarding the approach of these documents since in their contents, aspects of a very different nature are addressed. Thus, in this work an initial differentiation is made, identifying those standards, or parts, related to the contextual and theoretical framework of additive manufacturing, that includes the terminology, definitions, technologies and processes of this type of manufacturing; and those ones related to the standardization of the tests to be performed for the characterization of the pieces obtained as a result of these processes. The second part of the ISO 17296:2015 entitled “Overview of process categories and raw materials” is an example of the first of these situations, while the third part of the same standard, entitled “Main characteristics and corresponding test methods”, exemplifies the second situation [35], [36].

Sometimes, in addition to the main standards developed by these international organizations, it is possible to identify standards developed by national organizations. The Spanish Association for Standardization (UNE), publishes the Spanish version of the standards developed by the ISO and it also develops its own standards. That is the case of the UNE 116005:2012, focused on tensile tests of specimens obtained by additive manufacturing with polymer materials [37].

Thus, in Table 1 the standards for additive manufacturing identified are grouped into two families of standards; the different parts of the ISO 17296 [35], [36], [38] and the ISO/ASTM standards on additive manufacturing [39]–[43]. It can also be seen that in the case of the ISO 17296 the first part of this standard is pending publication, and as other works have pointed out, it is expected that this new document clarifies some aspects regarding terminology [20].

From that first distinction, in the columns on the right the focus of the content of each of the standards identified, or of each of the parties in the case of ISO 17296, has been indicated. On the one hand, the contents more oriented to the description of this type of technologies and processes, which contribute to defining what could be called the theoretical framework of reference for additive manufacturing, are distinguished. On the other hand, the contents aimed at conducting tests on the pieces obtained are indicated.

Table 1 shows how through the standards grouped within these two blocks both approaches are covered, the one related to the theoretical framework and the one related to the development of tests. On the other hand, previously mentioned UNE 116005:2012 is fully oriented to the performance of mechanical tests, specifically tensile tests, and only with polymeric materials.

With regard to the work materials considered in each of the standards identified in Table 1, some observations may

**TABLE 1. Classification of the standards identified on additive manufacturing according to their approach and the type of materials considered.**

	Approach		Material		
	Theoretical framework	Tests	Metal	Plastic	Ceramic
<b>ISO 17296-2:2015</b> Additive Manufacturing General principles. Part 2: Overview of process categories and raw materials.	■				
<b>ISO 17296-3:2014</b> Additive Manufacturing - General principles. Part 3: Main characteristics and corresponding test methods.		■	■	■	■
<b>ISO 17296-4:2014</b> Additive Manufacturing General principles. Part 4: Overview of data exchange.	■				
<b>ISO/ASTM 52900:2015</b> Additive Manufacturing General principles. Terminology.	■				
<b>ISO/ASTM 52901:2017</b> Additive manufacturing. General principles. Requirements for purchased AM parts	■				
<b>ISO/ASTM 52910:2018</b> Additive manufacturing – Design - Requirements, guidelines and recommendations	■		■	■	
<b>ISO/ASTM 52915:2020</b> Specification for additive manufacturing file format (AMF) Version 1.2	■				
<b>ISO/ASTM 52921:2013</b> Standard terminology for additive manufacturing. Coordinate systems and test methods.		■			

also be made. As the three columns to the right of the table show, ISO 17296 considers the three categories of materials; polymers, metals and ceramic materials. On the other hand, ISO/ASTM standards are oriented to polymeric and metallic materials, but they do not consider ceramic materials. Unlike these multimaterial approaches, the mentioned UNE 116005:2012 is exclusively oriented to polymeric parts.

Of the standards identified, this work pays special attention to the ones oriented to the development of mechanical tests. The mechanical testing of parts obtained by additive manufacturing is an unclear aspect today and it is a hot topic of debate among the scientific community.

In that sense, as indicated in Table 1, two documents should be highlighted. The ISO 17296-3:2014 [36], which corresponds to the third part of this regulation for additive manufacturing and which identifies the test methods to be used for different materials. And the ISO/ASTM 52921:2013 [43] developed by the ASTM. However, different authors have indicated in their work that specific regulation about testing for mechanical characterization of parts in additive manufacturing [19], [31] is currently not sufficient enough. Thus, the standards presented in Table 1 refer to other standards of conventional testing methods that may be of application, but which in no case have been specifically developed for these technologies.

As noted above, in general, these standards on additive manufacturing identify others that may be applicable to additive manufacturing parts, but which really correspond to regulatory developments which are specific to other productive and technological contexts. The clearest example of this circumstance is found in the case of the ISO 17296-3:2014. That standard includes a table identified as Table 4 in which a wide number of consultation standards are identified according to the material and the type of test. Thus, as shown in Table 2, a total of 139 standards are identified by the ISO 17296-3:2014 as references for testing parts obtained by additive manufacturing with different materials and in order to determine their quality in relation to different characteristics or requirements. However, it should be noted that this number is reduced to 92 when matches are considered. For example, for surface and geometric requirements the same standards are indicated for metallic materials, as plastics and ceramics. On the contrary, in the case of the mechanical requirements different standards are identified for each type of material.

**TABLE 2. Classification of the standards identified in the ISO 17296-3:2014 for carrying out tests associated with different quality requirements in parts obtained by additive manufacturing.**

Quality requirements	metal	plastic	ceramic	Total of references	Total of different references
raw material	9	9	11	29	21
surface	7	7	7	21	7
geometric	7	7	7	21	7
mechanical	11	23	15	49	48
manufacturing material	7	6	6	19	9
<b>total</b>	<b>41</b>	<b>52</b>	<b>46</b>	<b>139</b>	<b>92</b>

As can be seen when consulting the standards identified by ISO 17296-3:2014, all these mechanical test standards are characteristic of other productive and technological contexts, which are different from additive manufacturing. In that sense, ISO 17296-3:2014 itself indicates that efforts are being

made to define and describe the specific characteristics of the products obtained by additive manufacturing, and that the standards indicated are temporary recommendations until specific standards are available [36]. This way, the applicability of all these recommended standards is limited in the context of additive manufacturing, and it depends largely on the way in which the infill of the piece is conceived [21].

The standard ISO/ASTM 52921:2013 is focused mainly on standardizing the terminology for the test results reports and defining the correct location and orientation of the pieces in the construction volume. It does not include such a comprehensive review of the possible consultation regulations for testing. But in its second section, called Norms for consultation, several standards specific to other productive and technological contexts and which are considered useful for additive processes are identified. Table 3 shows the regulations referred for consultation in the ISO/ASTM 52921:2013.

**TABLE 3. Standards referred to by ISO/ASTM 52921:2013.**

	Classification by material		Other aspects
	Plastics	Metals	
ASTM	<b>D638</b> Standard Test Methods for Tension Properties of Plastics	<b>E8/E8M</b> Test Methods for Tension Testing of Metallic Materials	<b>F2792</b> Terminology for Additive Manufacturing. Technologies
ISO	<b>ISO 527</b> (all parts) Plastics. Determination of tensile properties	<b>ISO 6892-1</b> Metallic materials. Tensile testing. Part 1: Method of test at room temperature	<b>ISO 841</b> Industrial Automation Systems and Integration. Numerical Control of machines. Coordinate System and Motion Nomenclature

The smaller amount of references in comparison to the information shown in Table 2 for the case of ISO 17296-3 can be clearly seen. In this regard, it is especially noteworthy that in this case ceramic materials are not considered, as already indicated in Table 1. In addition, for the materials considered, this is plastics and metals, the type of test considered when identifying consultation standards is only tensile tests. Different from ISO 17296, ISO/ASTM 52921:2013 does not identify consultation standards for any other mechanical test, not even for compression tests.

As a summary, Table 4 shows the different approaches to the problem that are made from the identified standards for additive manufacturing. On the one hand, it is clear that the only standard that really offers a complete selection of standards to be taken into account for tests on parts obtained by additive manufacturing is the ISO 17296-3: 2017, both in relation to work materials and types of tests. And, on the other hand, it can be seen that the tensile tests on plastic materials have focused the most attention in these documents.

Thus, the current state of the regulations in the field of mechanical characterization of additively manufactured parts

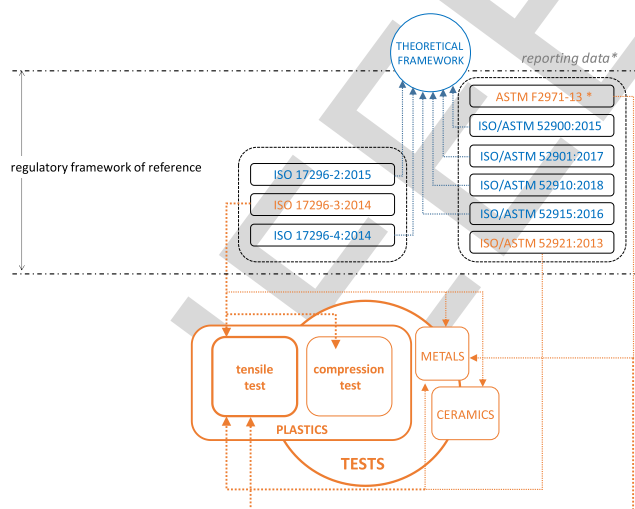


**TABLE 4. Mechanical tests for which consultation standards are identified in the standards ISO 17296-3:2017 and ISO/ASTM 52921:2013.**

		Metals	Polymers	Ceramics
Geometric requirements	Dimensional tolerances	■	■	■
	Geometric tolerances	■	■	■
Mechanical requirements	Hardness	■	■	■
	Tensile	■ □	■ □	■
	Impact	■	■	■
	Compression	■	■	■
	Flexure	■	■	■
	Fatigue	■	■	■
	Creep	■	■	■
	Aging	■	■	■
	Friction	■	■	■
	Shear	■	■	■
	Crack propagation	■	■	■

■ ISO 17296-3:2014 □ ISO/ASTM 52921:2013

is still precarious and provisional, especially as regards the testing of parts. On the one hand, there are identified and referred standards developed for productive contexts very different from that of additive manufacturing [21]. And, on the other hand, in regard to these standards that could be considered as “framework”, it is the tensile tests in polymeric materials that have the most normative basis at present, while for other materials and for other types of tests the normative references are significantly lower. Fig. 2 proposes a graphic and schematic representation of this situation as a summary of the analysis carried out in this section.



**FIGURE 2. Contributions of current regulations on mechanical characterization of parts produced by additive manufacturing.**

**B. SPECIFIC STANDARDS FOR TESTING POLYMERIC PARTS OBTAINED BY ADDITIVE MANUFACTURING**

After the initial approximation made to the current development of the standards in the field of additive manufacturing

in general, this study is focused on the standards related to the mechanical testing of parts, and concretely parts made of plastic materials. As exposed before, it is precisely in the field of polymeric materials that there is a greater documentary reference for the mechanical testing of parts obtained by additive manufacturing, which represents a starting advantage. Moreover, plastics were the first materials used in additive manufacturing, and the only ones for a long time, so more expertise and tradition are expected.

The most common or basic mechanical tests in order to obtain resistance values for the materials considered are the tensile and the compression tests. Bending stresses are also of great interest because of their usual presence in service conditions. However, in these tests, breakage occurs in the tensile zone and provide values normally higher than those of the single tensile test for the same material; so usually the tensile test can be considered the main reference since it represents the most unfavorable situation. Thus, focusing the analysis on tensile and compression tests, Table 5 reflects how the ISO 17296-3:2014 identifies consultation standards for both types of tests, while ISO/ASTM 52921:2013 only refers to tensile tests, not compression tests.

**TABLE 5. Standards for tensile and compression tests for plastic materials identified in the international standards on additive manufacturing.**

	TENSILE	COMPRESSION
ISO 17296-3:2014	ISO 527	ISO 604
ISO/ASTM 52921:2013	ISO 527 ASTM D638	-

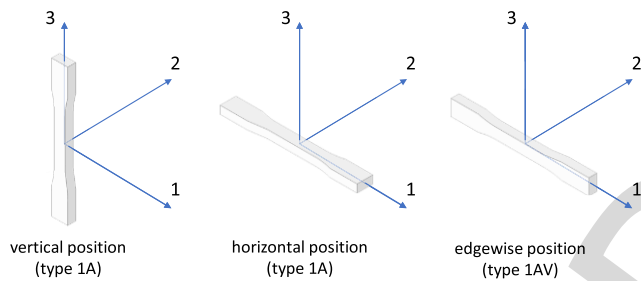
From the ISO 17296-3:2014, ISO 527 [44]–[48] and ISO 604 [49] are the standards identified for tensile and compression tests, respectively. ISO 527 is also the standard that identifies the standard UNE 116005:2012 as reference for tensile test. In the case of ISO/ASTM 52921:2013, two standards for tensile testing are identified, ISO 527 and ASTM D638 and no standard is identified for the compression test.

**1) REGULATIONS RELATING TO TENSILE TESTS**

As can be seen in Table 5, the standard ISO 527, which is oriented to the determination of tensile properties in plastic materials, is considered in the three standards on additive manufacturing. In turn, this standard has five different parts (Table 6). The first one addresses the general principles [44], and the following four establish the conditions to determine the tensile properties in molding and extrusion plastics, films and sheets, and isotropic and orthotropic fiber-reinforced plastic composites, respectively [45]–[48]. Thus, the second part, ISO 527-2:2012, is oriented to the testing of plastics for molding and extrusion, and that case is understood as the one closer to FDM manufacturing process, as already identified in other works [31]. However, the layer by layer morphology

**TABLE 6.** Parts of the standard ISO 527 for tensile tests of plastics.

International Standard	Date
<b>ISO 527-1:2019</b>	
Plastics — Determination of tensile properties — Part 1: General principles	2019-07
<b>ISO 527-2:2012</b>	
Plastics — Determination of tensile properties — Part 2: Test conditions for molding and extrusion plastics	2012-02
<b>ISO 527-3:2018</b>	
Plastics — Determination of tensile properties — Part 3: Test conditions for films and sheets	2018-11
<b>ISO 527-4:1997</b>	
Plastics — Determination of tensile properties — Part 4: Test conditions for isotropic and orthotropic fiber-reinforced plastic composites	1997-04
<b>ISO 527-5:2009</b>	
Plastics — Determination of tensile properties — Part 5: Test conditions for unidirectional fiber-reinforced plastic composites	2009-07



**FIGURE 3.** Representation of possible orientations in parts obtained by additive manufacturing based on UNE 116005:2012.

of the pieces obtained does not really correspond to the ones obtained through those processes, so this approach must be understood as temporary, and be used while developing specific standards, as indeed the standard UNE-EN ISO 17296-3:2014 already indicates [36].

Previously mentioned UNE 116005:2012 also refers to ISO 527 for tensile testing of parts obtained by additive manufacturing with plastics. However, with regard to the description and identification of the specimens, UNE 116005:2012 includes some aspects that are considered noteworthy against the information provided in part 1 and part 2 of ISO 527. Thus, three test tube orientations are explicitly distinguished. First, from a general approach to any piece obtained by additive manufacturing, by identifying three axes associated with three possible orientations or positions of the specimen. Subsequently, representations of the three orientations for the tensile specimens are included [37].

The standard referred for tensile tests in both UNE 116005:2012 and ISO 17296-3:2014 is ISO 527. But, regarding the geometric specifications of the specimens, although the standard UNE 116005:2012 includes tables of specifications in which it is possible to identify some small differences with the information included in ISO 527-2. Comparing the graphical representations of the specimens that both standards include, it is possible to appreciate that in both

cases the same geometric parameters for the definition of the specimens are considered.

**TABLE 7.** Comparison of geometric specifications for type 1A specimens according to ISO 527-2:2012 and UNE 116005:2012.

Geometric parameters	ISO 527-2:2012		UNE 116005:2012	
	Type 1A (mm)	Type 1A (mm)	Type 1A (mm)	Geometric parameters
$l_1$		$80 \pm 2$	$80 \pm 2$	Narrow parallel zone length
$l_2$		$109,3 \pm 3,2$	$104 \text{ a } 113$	Distance between wide parallel zones
$l_3$		170	$\geq 150$	Total length
$r$		$24 \pm 1$	$20 \text{ a } 25$	Radius
$b_1$		$10,0 \pm 0,2$	$10,0 \pm 0,2$	Narrow part width
$b_2$		$20,0 \pm 0,2$	$20,0 \pm 0,2$	Width at ends
$h$		$4,0 \pm 0,2$	$4,0 \pm 0,2$	Recommended thickness
		$75,0 \pm 0,5$		Reference length (recommended)
$L_0$		$50,0 \pm 0,5$	$50,0 \pm 0,5$	Distance between marks
$L$		$115 \pm 1$	$115 \pm 1$	Initial distance between the jaws

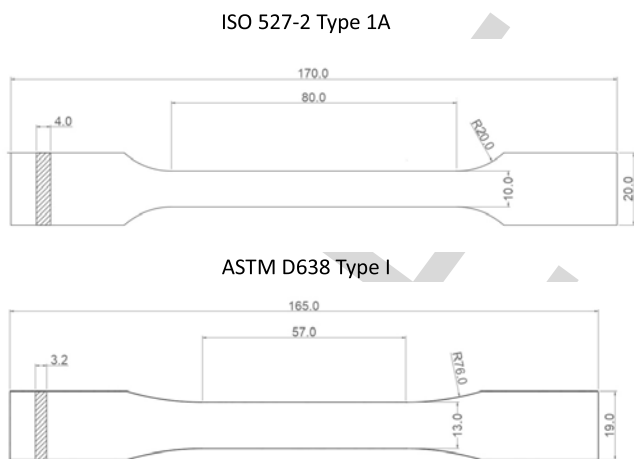
Thus, Table 7 compares the values established in both standards for the geometric parameters identified. Only the values included in each standard for type 1A specimens are collected. Type 1AV of standard UNE 116005:2012 is discarded due to its vertical orientation, which has already identified as nor appropriate for this test in other works [31]. And neither the type 1B of standard ISO 527-2:2012 is considered, since it considers mechanized specimens.

Using a solid background, the fields for which the values associated with the parameters considered coincide in both standards are identified. The rest of the situations do not represent contradictions, although, as a general conclusion, it can be said that in those cases the values provided by the ISO 527 standard are more restrictive or they are limited to smaller ranges of values.

In any case, they are small deviations. But, perhaps the approach to the problem of UNE 116005:2012 and the geometric specifications that establish have an added interest in the context of additive manufacturing, at least as a particular experience in this field, UNE 116005:2012 is developed since the beginning for additive manufacturing processes, and the characterization of the specimens is made from that perspective and considering key aspects for these processes, such as the possible orientations of the specimen according to the orientation of the layers. On the other hand, the type 1A specimen described by ISO 527-2:2012 is presented as the typology to be used when the specimens are molded by

396 injection or compression. UNE 116005:2012 does not really  
 397 provide many new aspects or considerations compared to ISO  
 398 17296-3. In both cases ISO 527 is the main reference. But  
 399 this standard has interest since it concentrates in a single  
 400 document, aspects which appear dispersed in different stan-  
 401 dards when main standards on additive manufacturing are  
 402 considered. And it also provides a presentation focused on  
 403 additive manufacturing scenarios since the beginning. Thus,  
 404 consulting this kind of document can provide complementary  
 405 information with practical application.

406 As indicated in Table 5, the standard ISO/ASTM  
 407 52921:2013 identifies, in addition to the already mentioned  
 408 ISO 527, the ASTM D638-14 standard as a reference for  
 409 tensile tests [50]. In the sixth point of this standard five types  
 410 of test specimens are identified, being Type I, which corre-  
 411 sponds to rigid or semi-rigid plastics with thicknesses of 7  
 412 mm or less, the one that fits the context of this work. Type II  
 413 will only be considered if the test specimens of Type I do  
 414 not fracture in the part corresponding to the narrow section.  
 415 The rest of typologies respond to other contexts that are not  
 416 of interest for this work; thus, Type III will be used when  
 417 the thicknesses must be greater than 7 mm without exceeding  
 418 14 mm, while Type V will be used when the thicknesses must  
 419 be 4 mm or less. On the other hand, Type IV will be applied  
 420 when it is required to make direct comparisons between  
 421 materials with different stiffness, that is to say semi-rigid and  
 422 not rigid.



423 **FIGURE 4. Fundamental dimensions of the specimens considered for**  
 424 **tensile tests according to ISO 527-2 and ASTM D638-14.**

423 Fig. 4 shows the specimens considered by ISO 527-2 and  
 424 ASTM D638-14. It can be seen that there are some dif-  
 425 ferences between both geometries. Perhaps the most strik-  
 426 ing aspect is the greater slenderness of the fracture zone  
 427 in the case of the test specimen defined by the UNE  
 428 116005:2012 and ISO 527-2 standards compared to the test  
 429 specimen defined by ASTM D638-14, with widths of 10 and  
 430 13 mm, respectively. Nevertheless, the thickness of 4 mm  
 431 versus the 3.2 mm established by the ASTM D638 standard

432 makes the resistant sections quite similar, with 40.0 mm<sup>2</sup> and  
 433 41.6 mm<sup>2</sup> respectively.

434 **2) REGULATIONS RELATING TO COMPRESSION TESTS**

435 Similarly, a search for regulations for compression tests was  
 436 carried out. The first step is to consult the call to test stan-  
 437 dards that are made from the regulations on additive man-  
 438 ufacturing. In that sense, Table 5 showed that only the ISO  
 439 17296-3:2014 standard on additive manufacturing identifies  
 440 a reference standard for the compression test with plastic  
 441 materials, the ISO 604:2002 [49]. The other standards on  
 442 additive manufacturing, that means UNE 116005:2012 and  
 443 ISO/ASTM 52921:2013, do not identify consultation stan-  
 444 dards for compression test.

445 In this way, the ISO 604:2002 standard remains the only  
 446 regulation for these tests that is referred to in the current spe-  
 447 cific regulations for additive manufacturing. However, taking  
 448 into account the usual practice in the scientific literature on  
 449 these topics, the use of ASTM D695-15 [51] is obvious when  
 450 carrying out this type of tests on these pieces. This situation  
 451 is addressed in the following section, which completes the  
 452 exposed revision of the existing regulations through experi-  
 453 ences in this field.

454 **C. APPROACH TO USUAL PRACTICES WHEN TESTING**  
 455 **PARTS OBTAINED BY ADDITIVE MANUFACTURING**

456 The analysis exposed so far on the general standards on  
 457 additive manufacturing and the specific standards that from  
 458 them are referenced for the performance of different kinds of  
 459 tests has served to identify certain deficiencies. For example,  
 460 not for all materials and types of test the standards identified  
 461 on additive manufacturing propose sufficient consultation  
 462 standards. In that context it is necessary to fill these deficien-  
 463 cies through the review of scientific production in this field,  
 464 identifying the usual practices on mechanical testing of parts  
 465 obtained by additive manufacturing. And in fact, this infor-  
 466 mation can be considered itself as a reference sufficiently  
 467 contrasted and as a starting point to raise similar experiences.

468 Two trends or work lines can be distinguished in this  
 469 regard:

470  In some works, the authors consider standards for  
 471 mechanical testing for parts obtained by other manufacturing  
 472 processes, executed with polymers as well as with ceramic  
 473 or metallic materials, and then they apply these standards to  
 474 similar testing of parts obtained by additive manufacturing.

475  Other works discard these standards due to the nature  
 476 of the pieces obtained by additive processes, whose struc-  
 477 ture does not conform to that continuous and isotropic  
 478 behavior, quite the opposite, due to characteristic aspects  
 479 of additive processes, such as layered construction and its  
 480 orientation, or the filling patterns applicable to parts in some  
 481 processes [1], [19], [21].

482 Tables 1, 2 and 4 allow to appreciate the complete com-  
 483 pilation of ISO standards that ISO 17296-3:2014 includes.  
 484 To get a similar list for the standards developed by ASTM  
 485 International it is necessary a revision of previous experiences

about different types of tests carried out with 3D printed parts, such as compression, flexion or others, and also for different materials, such as plastics, metals or composites. An in-depth review was made, and different works were identified. The works of Brischetto *et al.* [52] and Banjanin *et al.* [53] are examples of this type of studies in this case both oriented to compression tests. In the work of Brischetto *et al.* a thorough study of the compression properties of ABS specimens obtained by FDM is carried out. The reference taken as a reference in that study is ASTM 695-15, but it is indicated that technically this standard is equivalent to ISO 604:2002, which is the one identified by 17296-3:2014. In the same way, ASTM 695-15 is also identified as the reference for this essay in the work of Banjanin *et al.*

In this sense, and in relation to plastic materials, of special interest in the framework of this work, the authors consider that the work developed by Forster in 2015 [19] is still the reference of most interest and usefulness. The main quality of this work compared to others is that it is not a study focused on a specific test, but a compilation of the regulations of interest for a wide variety of mechanical tests applicable to these materials. The summary tables included in section 8 of said document are of special interest. In them, the standards of potential interest are identified for each test, indicating and justifying in each case the applicability or not in the case of parts obtained by additive manufacturing.

Thus, the work developed by Forster can be highlighted firstly by its usefulness in order to identify standards that can serve as a reference when carrying out tests of very different types on parts obtained by additive manufacturing. This aspect turns this work into a cross reference to a certain variety of mechanical tests. And from that point of view, it also establishes a certain similarity with ISO 17296-3:2014. But it should be noted that not all the types of tests identified in ISO 17296-3:2014 are considered in Forster's work, and similarly, Forster's work includes tests not referred in ISO 17296-3:2014, such as the torsion test.

Secondly, it should be noted that Forster's work only refers to polymeric materials, and it does not include a similar revision for metallic and ceramic materials, what ISO 17296-3:2014 includes. On the other hand, Forster's work includes standards for reinforced plastic materials. The authors of the present work consider appropriate to distinguish both situations as different realities, that is, polymeric materials and polymer matrix composites.

A third aspect to comment on the identification of standards carried out by Forster is the acceptance or not that after the initial identification is carried out. Three scenarios regarding the applicability in the field of additive manufacturing of the standards are identified: yes, yes with guidance and no [19]. None of the standards that Forster identifies in its work is validated with a yes, what would mean the total acceptance or an acceptance free of considerations and/or modifications. Thus, the standards identified are accepted with objections or directly rejected for use in additive contexts, what reinforces the idea that there is a need of specific

and really appropriate standards to perform these mechanical tests on parts obtained through additive technologies.

To incorporate the commented aspects of Forster's work into the proposed analysis, the comparison shown in Table 4 is completed in Table 8 including an icon or box to identify the contributions of Forster's work, and a column related to composite materials. Obviously, the standards initially identified but then rejected in Forster's work has not been included in Table 8. Thus, in cases such as compression tests, Table 8 does not reflect regulations for composite materials based on Forster's work, because although standards for this type of test and that type of material are identified, specifically ISO 14126:1999 [54] and ASTM D3410/D3410M-03 [55], both are discarded for application in the field of additive manufacturing.

**TABLE 8. Mechanical tests for which consultation standards are identified in the standards ISO 17296-3:2017, ISO/ASTM 52921:2013 and UNE 116005:2012, as well as in the work of Forster [15].**

		Metals	Polymers	Ceramics	Composites
Geometric requirements	Dimensional tolerances	■	■	■	
	Geometric tolerances	■	■	■	
Mechanical requirements	Hardness	■	■	■	
	Tensile	■ □	■ □ ○	■	■ ○
	Impact	■	■ ○	■	
	Compression	■	■ ○	■	
	Flexure	■	■ ○	■	○
	Fatigue	■	■	■	■ ○
	Creep	■	■ ○	■	
	Aging	■	■	■	
	Friction	■	■	■	
	Shear	■	■	■	■ ○
	Crack propagation	■	■	■	
	Torsion		○		
	Fracture toughness				○
	Bearing strength and open hole compression		○		○

■ ISO 17296-3:2014 □ ISO/ASTM 52921:2013 ○ Forster, 2015

The analysis of Table 8 shows interesting situations. For example, for the shear test it can be seen how Forster's work identifies standards applicable to the field of additive manufacturing, but related to composite materials, not to non-reinforced plastic materials. And, on the other hand, the table shows that ISO 17296-3:2014 provides a reference standard for this type of test and that type of material, the standard ISO 14129:1997 [56].

It can also be seen how ISO 17296-3 identifies consultation standards for composite materials for tensile, fatigue and shear tests. This is relevant since at first it only considers metallic, polymeric and ceramic materials. As in the case of Forster's work which refers to polymeric materials, polymer matrix composite materials are considered in the aforementioned documents as a type of plastic materials, while in the



**TABLE 9. Comparative summary of the consultation standards identified by ISO 17296-3:2014, ISO/ASTM 52921:2013 and Forster’s work.**

	METALS			POLYMERS			REINFORCED PLASTIC COMPOSITES			CERAMICS			
	ISO 17296-3:2017	ISO/ASTM 52921:2017	Forster, 2015	ISO 17296-3:2017	ISO/ASTM 52921:2017	Forster, 2015	ISO 17296-3:2017	ISO/ASTM 52921:2017	Forster, 2015	ISO 17296-3:2017	ISO/ASTM 52921:2017	Forster, 2015	
Hardness	ISO 6507			ISO 2039 ISO 868								ISO 14705	
Tensile	ISO 6892-1	ISO 6892-1 E8/E8M		ISO 527-1 ↔ ISO 527-1 ISO 527-2 ↔ ISO 527-2 ↔ ISO 527-2 ISO 527-3 ↔ ISO 527-3 D638 ↔ D638			ISO 527-4 ↔ ISO 527-4 ↔ ISO 527-4 ISO 527-5 ↔ ISO 527-5 ↔ ISO 527-5 ASTM D3039					ISO 15490	
Impact	ISO 148-1 ISO 148-2 (charpy)			ISO 179-1 ↔ ISO 179-1 ISO 179-2 (char.) ↔ ISO 179-2 (charpy) ISO 180 (izod) ↔ ISO 180 (izod) ASTM D256-10 (izod) ASTM D6110-10 (charpy)									ISO 11491
Compression	ISO 4506			ISO 604 ↔ ISO 604 ASTM D695-10					ISO 11126-1999 ASTM D3410/D3410M-03 (2008)			ISO 17162	
Flexure	ISO 3327			ISO 178 ↔ ISO 178:2010 ASTM D6272-10 ← - - - - - → ASTM D6272-10 ASTM D790-10 ← - - - - - → ASTM D790-10 ASTM D7264/D7264M-15								ISO 14704 ISO 14610	
Fatigue	ISO 1099 ISO 1143			ISO 15850 ↔ ISO 15850 ASTM D7774-12 ASTM 7791-12			ISO 13003 ↔ ISO 13003 ASTM D6113-07(2011)					ISO 22214 ISO 28704	
Crack propagation	ISO 22889			ISO 15850								ISO 15732 ISO 18756 ISO 24370 ISO 23146	
Creep	ISO 204			ISO 899-1 ↔ ISO 899-1 ISO 899-2 ↔ ISO 899-2 ASTM D2990-09								ISO 22215	
Aging	Not relevant			ISO 4892-1 ISO 4892-2 ISO 4892-3 ISO 4892-4								Not relevant	
Friction	No identified standard			ISO 6601								ISO 20808	
Shear	ISO 148-1						ISO 14129 ← ISO 14129 ASTM D3518/D3518M-13 ISO 14130-1997 ASTM D2344/D2344M-13 ISO 15310-1999 ASTM D4255/D4255M-01(2007) ASTM D7078/D7078M-13 ASTM D3846-08					ISO 14129*  *for reinforced plastic composites	
Torsion				ISO 458-1:1985									
Fracture toughness					ISO 13586:2000 ISO 20221:2014 ASTM D6068-10				ISO 15024:2001 ASTM D5528-13 ISO 13586:2000/Amd 1:2003				
Open-hole compression strength					ASTM D953-10				ISO 12815:2013 ISO 12817:2013 ASTM D5961/D5961 M-13 ASTM D6484/D6484M-14				

present work the authors have preferred to differentiate both types of material, that is polymeric materials and polymer matrix composites, or what is the same, non-reinforced and reinforced polymeric materials.

As indicated above, Table 8 does not reflect the standards that, although identified in Forster’s work, are ruled out as not applicable in the field of additive manufacturing. This is the case of ISO 14129:1997, which although it is identified in Forster’s work, is considered not suitable for this manufacturing technology, in contrast to what is indicated in ISO 17296-3:2014, which identifies it as a standard for consultation.

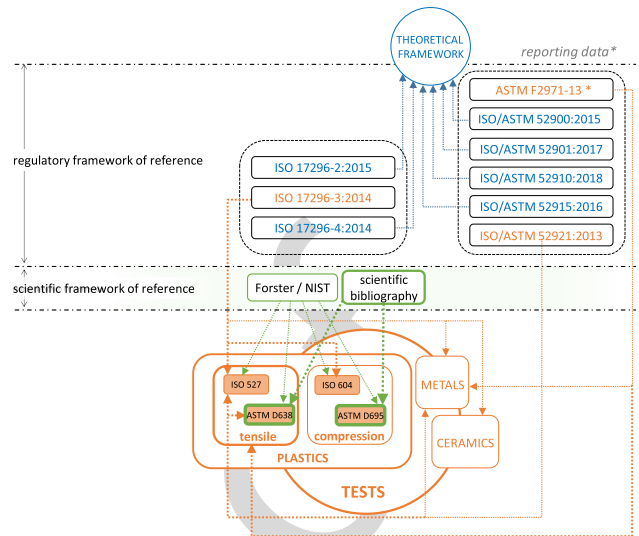
Therefore, there are coincidences but also contradictions in the identification of consultation standards that the three standards consider and Forster’s work offer. This way, Table 9 completes the information shown in Table 8, citing the

corresponding consultation regulations in each case. In addition, three situations of special interest are identified using arrows of different colors.

- Green arrows: they draw attention to situations in which the same consultation standard is referred to in different standards on additive manufacturing or in Forster’s work. Thus, standards that have a certain consensus regarding the four sources considered are identified.
- Red arrows: they identify situations in which a standard, identified as a valid reference in one of the sources, is rejected in another. This situation is consequence of Forster’s work, which discards some standards that in a first approach can be defined as appropriate for the context of additive manufacturing.

**TABLE 10.** Identification of the regulations used for tensile testing in the main works consulted in this regard [53], [57], [61]–[77].

Authors	Tensile test	
	Year	Standard used
Croccolo <i>et al.</i>	2013	ASTM D638
Torrado <i>et al.</i>	2014	ASTM D638
Tymrak <i>et al.</i>	2014	ASTM D638
Casavola <i>et al.</i>	2016	ASTM D638
Rankouhi <i>et al.</i>	2016	ASTM D638
Riddick <i>et al.</i>	2016	ASTM D638
Weng <i>et al.</i>	2016	ASTM D638
Alafaghani <i>et al.</i>	2017	ASTM D638
Cantrell <i>et al.</i>	2017	ASTM D638
Chacón <i>et al.</i>	2017	ASTM D638
Cwikla <i>et al.</i>	2017	ISO 527
Ferreira	2017	ASTM D638
Tanikella <i>et al.</i>	2017	ASTM D638
Zaldivar <i>et al.</i>	2017	ASTM D638
Aw <i>et al.</i>	2018	ASTM D638
Banjanin <i>et al.</i>	2018	ASTM D638
Kuznetsov <i>et al.</i>	2018	ASTM D638
Lubombo <i>et al.</i>	2018	ASTM D638
Akhoundi <i>et al.</i>	2019	ASTM D638
Liu <i>et al.</i>	2019	ASTM D638
Rajpurohit <i>et al.</i>	2019	ASTM D638

**FIGURE 5.** Identification of the standards for tensile and compression testing on plastic printed parts through the general standards on additive manufacturing and the scientific literature review carried out.

- Discontinuous black arrows: they identify standards applicable in different types of materials.

The third of these scenarios, is due in the case of ASTM 6272-10 and ASTM D790-10 standards to the already discussed consideration of polymer matrix composite materials as a type of polymeric materials or as an independent group. It draws much more attention in this regard that ISO 14129:1997, for shear test in fiber-reinforced plastic composites, is identified as a reference standard for ceramic materials in the field of additive manufacturing.

Table 9 is considered an interesting result of this work, as it constitutes a reference or guide to the relationship between the consultation standards called from the main general additive manufacturing standards, an also from Forster's work, which is considered another important reference in this field.

Many other works focused on the mechanical characterization of plastic parts obtained by additive processes were consulted, with special attention to FDM processes and tensile test, which are the most frequently used. As seen in Tables 4 and 8, polymers tensile test represents the only test for which the three additive manufacturing regulations identified call for consultation standards, and also the only test for which there is more than one reference in this regard, specifically two, ISO 527 and ASTM D638-14, as shown in Table 5.

Table 10 shows some of the main works consulted in this line, indicating the standards used in each case. A clear predominance of ASTM D638 can be observed as the reference chosen by the authors, except in the case of the work of Cwikla *et al.* [57], in which the ISO 527 standard was used. This corrects the possible initial conclusion derived from the content of Table 5, which shows the identification of ISO

527 in the call for consultation by the three generic standards on additive manufacturing identified. However, Table 10 shows the preference that in practice most authors have by ASTM D638. This preference is also identified in previous review works [58], [59]. It is also possible to identify works of great interest in which similar studies are carried out without using any of these standards. For example, in the work developed by Webbe Kerekes *et al.* [60] a total of 30 dog-bone specimens were tested, but their dimensions did not correspond to the ones defined in ISO 527 or ASTM D638-14.

Fig. 5 resumes graphically the contribution of the standards on additive manufacturing and the scientific works consulted, in relation to the identification of standards that can serve as a reference for the mechanical test, specifically of traction and compression of polymeric parts obtained by additive manufacturing.

It can be seen that some of the standards on additive manufacturing considered contribute to the theoretical framework, this is the establishment of terms, definitions, etc.; and others to the identification of standards of application when different mechanical tests are carried out. As in the generic standards on additive manufacturing, the work developed by Forster consider these two standards as well as ISO 527 [44]–[48] and ISO 604 [49], for tensile and compression test respectively. So, research works identified in the review of the scientific production in this field are the ones which really allow to identify the standards ASTM D638-14 [50] and ASTM D695-15 [51], for tensile and compression test respectively, as the most used references.

Considering all of the above, the identification of ASTM D638 and ASTM D695 standards is considered justified as the main references to be taken into account in terms of tensile and compression tests of polymer parts obtained by additive manufacturing. The review carried out in this regard

is considered useful given the lack of definition in some cases, and the contradictions in others, which have been revealed in relation to the regulations to be applied.

Other examples of the lack of consensus can be found consulting the information provided by filament manufacturers. In that sense, Table 11 shows the differences in the testing standards used by different FDM filament manufacturers when establishing tensile resistance values. Table 11 reflects what is stated in the technical data sheets of the products of three well-known companies that offer filaments for FDM printers. This information is available for download and consultation on the websites of these companies [78]–[80].

**TABLE 11. Identification of regulations used by different filament manufacturers for FDM printers for tensile testing.**

	PLA	ABS	PETG	NYLON	TPU, TPE	PC	PVA
BCN3D	ASTM D882	ISO 527	ISO 527	-	ISO37	-	ISO 527
STRATASYS	ASTM D638	ASTM D638	-	ASTM D638	-	ASTM D638	-
ULTIMAKER	ISO 527	ISO 527	-	ISO 527	ASTM D638	ISO 527	ISO 527

It can be seen that in addition to the standards previously identified as the main references for tensile test, ISO 527 and ASTM D638-14, other standards appear, such as ISO 37, typical of vulcanized elastomers, and ASTM D882, for thin sheets. And it can be observed a higher consideration of the ISO 527, in line with what is indicated in the general standards on additive manufacturing, but contrary to the trend observed in the review of the scientific works in this field.

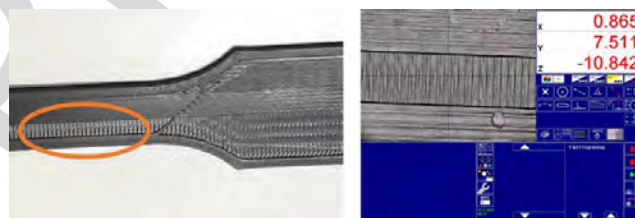
But also, other situations highlight the lack of heterogeneity in the criteria. Aspects such as the orientation of the specimens during the printing process, the diameter of the nozzle used or the type and percentage of filling, are in some cases omitted and in others established without justifying the chosen values. Thus, it is possible to identify multiple examples that illustrate this lack of unity in the criteria to be considered. For example, the data sheets provided by BCN3D Technologies do not refer to the orientation of the specimen to be manufactured, the thickness of the nozzle or the filling. On the other hand, the XY orientation, the nozzle diameter of 0.4 mm and a filling of 90% are identified on the Ultimaker datasheets, without any of these decisions being justified. Other manufacturers, such as Ultrafuse [81], provide in their files a little more information in this regard, distinguishing for example the values obtained for three different orientations; and previously Infill3D offered datasheets considering two directions and different filling percentages, in this case 50% and 100%, which at least shows the influence of these aspects and makes a call to their consideration by users.

**III. APPROACHES FAR FROM THE TRADITIONAL TEST STANDARDS**

In the previous sections a review of the test standards referred from the general standards on additive manufacturing was made, and main differences and, in some cases

contradictions, were pointed. Then, another review of the more common practices in this field was developed and the results of both are compared and exposed. And during this process a critical aspect has come up several times; the relative application that the traditional test standards for different materials have in the context of additive manufacturing. Many works has pointed this aspect [19], [21], [31] and two main approaches to the reasons can be distinguished.

On the one hand, the layer by layer forming process characteristic of additive manufacturing involves a lack of continuity in the material and anisotropic behavior. Thus, the application of the standards identified for mechanical testing, although it is possible, is strongly limited. In that sense, it would not be possible to speak of specimens that use filling patterns, but of solid specimens, in order to approximate as closely as possible to the conditions of the standards proposed as consultation documents. But, even so, the internal structure of adjacent filaments along successive layers introduces an evident discontinuity in the material, being able to identify clearly defined directions in the internal structure of the piece with different characteristics and mechanical responses. Fig. 6 and Fig.7 show different images of specimens for tensile test of FDM parts and allow to appreciate the commented situations.



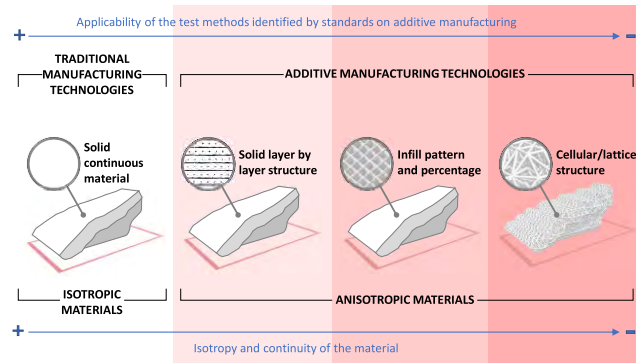
**FIGURE 6. Unfinished specimen to allow the display of successive layers with perpendicular filament orientations in solid samples.**



**FIGURE 7. View of the cross section of two test specimen for tensile testing with horizontal position (left) and edgewise position (right). Images obtained by TESA-VISIO Digital Profile Projector.**

On the other hand, the nature and the focus of many other works in this field demand approaches to the mechanical characterization of the pieces obtained which since the beginning are far from the framework defined by the standards on additive manufacturing. The infill structures usually used, and especially the complex interior structures that additive manufacturing allows, are examples of situations in which the materialization of pieces has nothing to do with continuous material approaches. And it is important to note that they are these new possibilities allowed by additive manufacturing, and impossible in traditional manufacturing contexts,

748 which are being most intensively studied and improved by  
 749 the scientific community and the industry. So, they are those  
 750 scenarios in which the applicability of the test standards is  
 751 more complicated and relative the ones in which exist more  
 752 activity and the need of standards is higher. Fig. 8 resumes  
 753 graphically this idea and the lack of applicability of the test  
 754 standards referred to the level of isotropy and continuity of  
 755 the material in the piece.



**FIGURE 8.** Applicability of the mechanical test standards identified in relation to the internal morphology of the parts obtained by additive manufacturing.

756 The use of cellular and lattice structures in the design of  
 757 parts for additive manufacturing represent the most remote  
 758 scenario in terms of applicability of the identified test stan-  
 759 dards. And in addition, these approaches have a great need  
 760 of mechanical characterization, and are often linked to topol-  
 761 ogy optimization analysis, of great importance in design for  
 762 additive manufacturing. In these cases, the analysis of the  
 763 mechanical resistance of the obtained parts can be oriented  
 764 through the resistance of the cellular filling structures or the  
 765 optimized structures established in the design rather than  
 766 through the study of the material. These kind of approaches  
 767 and the difficult applicability of testing standards in these  
 768 contexts were deeply analyzed by the authors in previous  
 769 works [21], [82].

770 Usually, the works developed from these kind of  
 771 approaches, carry out the corresponding mechanical tests  
 772 considering either the unit cells or small structures obtained  
 773 as a sum of a certain number of them, but without referring  
 774 the study to any testing standards and without using the defined  
 775 specimens in them. The works developed by Chen *et al.* [83]  
 776 and Hussein [84] illustrate the preparation of compression  
 777 tests on cellular structures. In both cases, compression tests  
 778 are carried out on the pieces considered without referring  
 779 them to any testing standard and using own designed spec-  
 780 imens, not standardized ones.

781 In addition, works in which the designs are based on lat-  
 782 tice and cellular structures must consider not only particular  
 783 cells in terms of mechanical response, but also the response  
 784 obtained when the specific geometry of a particular design  
 785 is built by adaptation of that initial and basic structure. So,  
 786 it is common to find works that characterize the mechanical  
 787 behavior of the pieces by testing parts of varied geometries

788 which no correspond with standardized specimens [32],  
 789 [85]–[89]. The variety of scenarios opened by additive man-  
 790 ufacturing thanks to the geometric freedom that it allows,  
 791 makes necessary much more specific standards in order to  
 792 respond to particular needs of specific productive contexts.  
 793 Zhang *et al.* identify some examples of this other group  
 794 of standards on additive manufacturing, such as ASTM  
 795 F2924-14, ASTM F3001-14, ASTM F3184-16 and ISO  
 796 13314:2011 [90]–[93]. The need of specific standards for  
 797 particular productive scenarios and the efforts in this direction  
 798 will be commented into the next section. In many of these  
 799 works the analysis and the characterization of the tested parts  
 800 combine the performance of mechanical tests in the labora-  
 801 tory and simulation work supported by the Finite Element  
 802 Method (FEM). This approach allows to validate the results  
 803 obtained in the simulations and characterize the mechanical  
 804 behavior of the parts based on this type of structures [83],  
 805 [86]–[89].

806 Some works have a special interest as examples of these  
 807 kind of approaches since they address reviews of the state of  
 808 the art from different points of view. For example, the work  
 809 of Zhang *et al.* [32] is focused on cell structures for implant  
 810 application, considering different technologies of additive  
 811 manufacturing with metals and applying them in a particular  
 812 material such as Ti-6Al-4V alloy, due to its biocompati-  
 813 bility. The mentioned work also incorporates in its review  
 814 the geometry of the cell considered, compiling the results  
 815 obtained by different authors for key parameters, such as  
 816 the Elastic Module and the Elastic Limit. The work devel-  
 817 oped by Sing *et al.* [33] identifies different works oriented  
 818 to the mechanical characterization of this type of structures  
 819 under compression, traction, fatigue or flexion loads, and in  
 820 this case obtained by SLM with different metallic materials.  
 821 In that work cubic and cylindrical geometries are identified as  
 822 the usual for the samples for compressive tests and also two  
 823 standards are identified as possible references, ASTM E9 [94]  
 824 and ISO 13314:2011 [93], which determines the compression  
 825 test conditions for porous or cellular metallic materials.

826 Other works, as the one developed by Cooke *et al.* [34]  
 827 identifies standards for different tests. Thus, for the ten-  
 828 sile test, considers the ASTM C297 and ASTM C363 stan-  
 829 dards [95], [96], for compression the ASTM C364 [97], for  
 830 shearing the ASTM C273 [98] and for bending the ASTM  
 831 C393 [99]. All these standards have been developed by Com-  
 832 mittee D30.09, which is oriented to the study of materials of  
 833 sandwich structure. As mentioned before, that type of mate-  
 834 rials would be closer to the nature of this type of geometries  
 835 that additive technologies make possible, but they are not  
 836 identified as references into the general standards on additive  
 837 manufacturing.

#### 838 IV. SPECIFIC REGULATORY DEVELOPMENTS. 839 AN ALTERNATIVE APPROACH IN PROCESS

840 In this work the main standards on additive manufacturing  
 841 have been identified, and from them, standards for mechan-  
 842 ical test methods were identified. In addition, the most



843 common practices in this field were also analyzed, and this  
 844 allowed to pay special attention to some of them, since they  
 845 are the most usually used by researchers. But, in general,  
 846 the identification process resulted in very general standards  
 847 not specific for polymeric materials nor for the corresponding  
 848 manufacturing processes. The only exception in that regard  
 849 is UNE-EN-ISO 116005:2012. But in any case, all these  
 850 standards on additive manufacturing made reference to gen-  
 851 eral standards for mechanical testing of polymers. In no case  
 852 specific standards or methods for mechanical testing of parts  
 853 obtained by additive manufacturing were identified.

854 In this section the search of standards on additive manu-  
 855 facturing is expanded and a significant number of specific  
 856 standards, both for particular materials and processes, are  
 857 included in the review. Table 12 shows the identified stan-  
 858 dards on additive manufacturing developed by ASTM Inter-  
 859 national. The information provided in each case includes the  
 860 code and full description, the year of publication, the com-  
 861 mittee and subcommittee responsible for their development  
 862 and contents.

863 Then two different technological approach are differenti-  
 864 ated. On the one hand, standards developed for additive tech-  
 865 nologies from a global point of view. And, on the other hand,  
 866 standards focused on particular additive technologies and  
 867 processes. And, also the material referred in each standard  
 868 is considered, differentiating between metals and polymers,  
 869 the two most important groups of materials used in AM.  
 870 When the standards are not developed for a particular cate-  
 871 gory of material or for a specific one, no material is indicated.  
 872 In that sense, it must be commented that ceramics are not  
 873 considered on Table 12, since it was not possible to identify  
 874 standards focused in this type of materials, so only the general  
 875 standards could be referred to them in the table. In that context  
 876 it was considered more appropriate not to include them in the  
 877 table.

878 On the left side of the table, the analysis is carried out for  
 879 all the standards developed over time by ASTM International  
 880 in this field. On the right side, new columns are added, and  
 881 the same analysis is shown, but this time considering only  
 882 the active standards. Thus, the columns on the left show the  
 883 evolution of these standards, and the ones on the right resume  
 884 the actual context.

885 As can be seen, standards usually evolve through the publi-  
 886 cation of new versions or revisions which replace the previous  
 887 ones, but the main code and basic description remains. In a  
 888 few cases (mainly related to general approaches) standards  
 889 are withdrawn. This is the case of ASTM F2915 and ASTM  
 890 F2921; the first one was centered in defining the standard  
 891 specification for file formats used in AM and the second  
 892 one in describing important aspects about the coordinate  
 893 systems and tests methodologies; the new standards replac-  
 894 ing those ones are ASTM 52915 (published in 2020) and  
 895 ISO/ASTM 52921 (published in 2013) respectively. The  
 896 only standard with a specific approach that has been with-  
 897 drawn and replaced is ASTM F3303 [110]; the new stan-  
 898 dard, ISO/ASTM 52904, has been published in 2019 and is

899 focused on describing good practices to meet critical appli-  
 900 cations in metal powder bed fusion processes such as com-  
 901 mercial aerospace components and medical implants. The  
 902 case of ASTM F3303, replaced by ISO/ASTM 52904, illus-  
 903 trates one of the reasons why some standards are withdrawn.  
 904 As exposed on ASTM International Web Site, the common  
 905 goal of both organizations, the ISO and ASTM Interna-  
 906 tional, of approving single standards used by all motivates  
 907 the replacement of documents initially developed by one of  
 908 the organizations by versions reviewed and approved by both  
 909 of them. The case of ASTM F2792-12a is different, since this  
 910 standard is withdrawn but not replaced.

911 The rest standards in Table 12 are mainly specific standards  
 912 of recent development (the oldest one is from 2011) and  
 913 they have experience a fast evolution that can be observed  
 914 by the number of new versions in a very short period of  
 915 time (in some cases such as the ASTM F3055, three ver-  
 916 sions in the same year). Most of these standards have been  
 917 developed for the category powder bed fusion with differ-  
 918 ent metallic alloys such as nickel alloys [102], [103], tita-  
 919 nium alloys [90], [91], [109], cobalt alloy [107], aluminum  
 920 alloy [111], stainless steel [92]; and plastic materials [104];  
 921 and more recently specialized in laser - based powder bed  
 922 fusion of metals [117] and polymers [118]. Importance of  
 923 directed energy deposition category is also shown through the  
 924 standard ASTM F3187-16 [106] and more recently, the publi-  
 925 cation of the new standard ISO/ASTM 52922 [120] in 2019.  
 926 Interest in material extrusion of plastics has arisen as well,  
 927 as we can see through the publication of the new standard  
 928 ISO/ASTM 52903 [114] in 2020.

929 From the information shown in Table 12, different analysis  
 930 can be made. Fig. 9 shows the different productivity in terms  
 931 of active standards of the different subcommittees within  
 932 the ASTM International Committee F42 on Additive Man-  
 933 ufacturing. Both the number of standards and the percentage  
 934 that in each case they represent of the total of standards are  
 935 indicated. In the left side of Fig. 8 the distribution of the  
 936 standards developed over time is shown. The graph on the  
 937 right considers only the currently active standards. Thus, as  
 938 in Table 12, the first information provides an approximation  
 939 to the activity of these committees in terms of elaboration  
 940 and promotion of new standards during the last years, and  
 941 the second gives a visual of their weight within the actual set  
 942 of active standards on additive manufacturing.

943 As it can be seen in the graph, the standards developed by  
 944 subcommittee F42.05 on Materials and Processes represent  
 945 half of the total of standards developed in the graph on the  
 946 left and the 65% in the graph of the right. Thus, it is the  
 947 Subcommittee F42.05 on Materials and Processes the one  
 948 with the highest number of contributions to the collection  
 949 of standards developed. And, within that group, it is also  
 950 remarkable the great dominance of standards focused on  
 951 metals, in comparison to polymers or ceramics. In fact, it  
 952 must be noticed that the only item for ceramics corresponds  
 953 in Table 12 to ISO/ASTM 52901-16, which adds an entry for  
 954 each material since it makes a general approach not focused



in any material category, so it cannot be ignored, but it must account for all.

Considering the subcommittees with entries in Fig. 9, Fig. 10 differentiates in each case the number of standards developed from general approaches and applicable to any additive technology and the ones which are specific for any process. It can be seen a strong trend to specific standards in the case of subcommittee F42.05 on Materials and Processes, what is logical and consistent with its own nature.

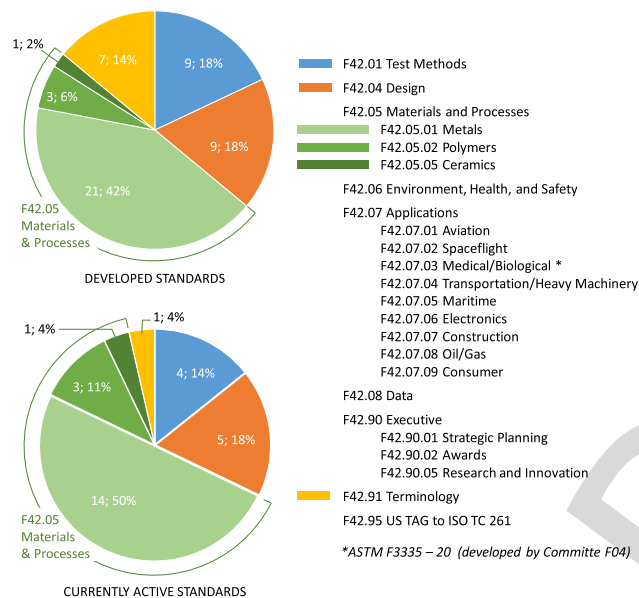


FIGURE 9. Standards developed by the different subcommittees within the committee F42 on Additive Manufacturing Technologies: standards developed over time (left) and active standards (right).

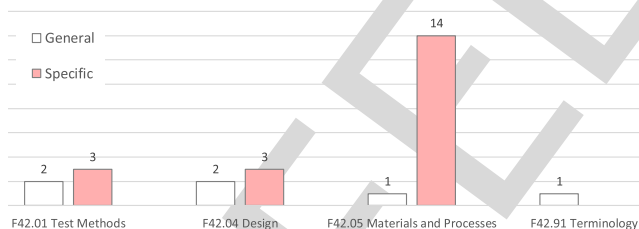


FIGURE 10. General and specific technological approaches for the standards developed by the different subcommittees.

Fig. 11 tries to show in a matrix classification system the most and the less common approaches within the collection of standards on additive manufacturing identified. The intersections between columns and rows groups of standards with similar approaches both from technological and material perspectives. General standards are represented without background color and dashed line, since they must be considered in the count of the general standards, but only once, and they must be ignored when material is considered, because both metals and polymers are referred in them.

All these graphs show a great effort focused on the development of specific standards to be applied to particular contexts

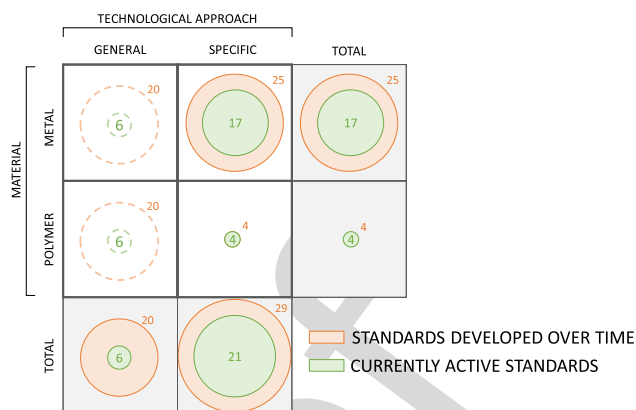
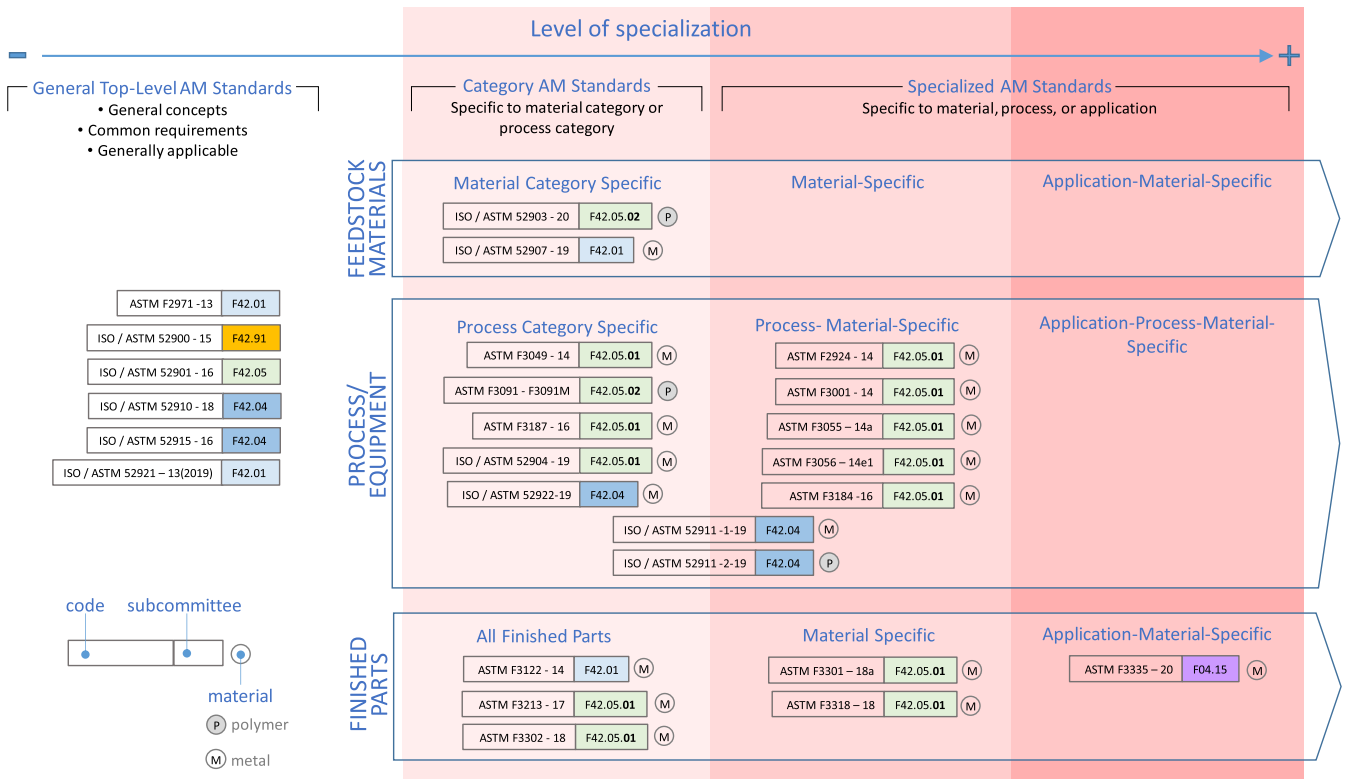


FIGURE 11. Matrix classification of standards on additive manufacturing considering both the technological approach and the material.

defined by the characteristics of particular materials and/or the critical aspects of specific processes. Thus, these specific approaches represent the main standardization strategy for additive manufacturing processes and products. In that sense, as part of the information provided in the website of the committee F42 on Additive Manufacturing, the schema of the AM Standards Structure provided is considered specially interesting and clarifying. Three main groups or levels of standards are identified based on the degree of specialization or specificity. Thus, first, a top level of general standards is identified, focused on aspects such as terminology, data formats, design guidelines, test methods or safety among others, and all them from general and transversal points of view. Then two levels of higher specialization are considered. First in relation to specific categories of materials or processes and then focused on specific materials or processes. And through these three levels, three approaches are identified: feedstock materials, processes/equipment and finished parts. On the other hand, the schema allows to identify standards about test methods in two levels within the structure. First, in the top-level of general standards. And also, in the next level, relative to categories of materials or processes and referred to finished parts.

From the mentioned schema, Fig. 12 tries to locate each currently active standard identified in Table 12 in the corresponding place within the structure. This is interesting since the structure shows the strategy designed for the development of a collection of standards on additive manufacturing, and Fig. 12 provides an image of the current situation. In each case the corresponding subcommittee is also identified. And when it exists an approach to a specific material or material category, this aspect is included too. Thus, general standards, which are not focused on a particular material or material category, do not include this information, but in other standards a P or an M are indicated, as indications of polymer and metallic materials.

Not in all cases the location of the standards within the structure was clear. ISO/ASTM 52911-1-19 [117] and ISO/ASTM 52911-2-19 [118] differentiate between polymer



**FIGURE 12.** Applicability of the mechanical test standards identified in relation to the internal morphology of the parts obtained by additive manufacturing.

and metallic materials as if it happens in other cases. Thus, it exists certain level of concretion, but categories are indicated, no specific metals or polymers, so these two standards are shown between two areas. It is also remarkable that the only standard identified during the review classifiable in the right column of Fig. 11 referred to applications is the standard ASTM F3335-20 [112], which was not developed by Committee F42. It was developed by Committee F04 on Medical and Surgical Materials & Devices, concretely by the subcommittee F04.15 on Material Test Methods.

The review of all these normative developments also reveals a lack of specific references, similar to the ones developed for certain metals or for other material categories, such as polymers. Considering the recurring applicability problems identified for the standards used to carry out mechanical tests on parts obtained by additive manufacturing using polymeric materials, it seems logical to follow a similar strategy of specialization for these materials and their processes. The particularities and differences of additive technologies compared to other manufacturing processes also exist between themselves. The construction process layer by layer defines a clear border with other manufacturing technologies. But this border only affects transversally all these additive technologies regarding the geometric freedom they allow. After, it is possible to identify significant differences between them considering the nature and characteristics of the layers they define and also to the relation and cohesion between adjacent

layers. So, apart from general aspects as terminology or main concepts, it is difficult to face aspects such as the mechanical characterization of the obtained products from perspectives common to different additive technologies.

## V. CONCLUSIONS

In this work, a thorough review of the main general and specific regulatory developments in materials and design standards for additive manufacturing has been carried out, with special attention to the standards for mechanical characterization of obtained products. One of the main contributions of this work is that the analysis developed allow to identify some weak points, or at least not defined enough, in the current additive manufacturing standardization landscape; the identification process of standards for mechanical test methods resulted in very general standards not specific for materials nor for the corresponding manufacturing processes.

Another important conclusion is that a trend through the development of specific standards for particular additive processes and materials is identified; so, the key for next regulatory developments in mechanical testing is to develop standards that take into account particular AM processes and materials, as in the case of regulatory developments for additive manufacturing of specific alloys and process categories already developed and commented in this work. That specialization strategy appears probably as the most appropriate alternative to face the identified weakness. So, it could be



said that currently standardization on additive manufacturing presents an incomplete framework, but one in the right direction.

As a practical contribution of the paper, the proposed approaches and results obtained provide main guidelines for researchers, engineers, designers and makers to understand and use the current standardization structure on additive manufacturing within their particular contexts with the current framework. And, also an overview of standardization on additive manufacturing and a prospective interpretation of the faced approaches in this work is presented.

And finally, it can be said that, in that sense, a great gap between available standard about additive technologies based on metallic materials and polymer materials has raised during the last years. The huge potential of additive manufacturing with metals in many industrial sectors is an evidence. But it should be noted the importance of the wide variety of solutions available for additive manufacturing with polymeric materials, such as desktop 3D printers. Access to this equipment is more and more common. Designer, maker and consumer can be the same person, and standardization on additive manufacturing must also ensure the quality and safety of the products obtained in those other scenarios. Crisis as the one caused by COVID-19 reveal the potential and capacity of this alternative productive structure when working with a common goal, and also the need of a clear regulatory framework for the obtained products. So new standardization developments with the proposed approach are a challenge to face in the next years.

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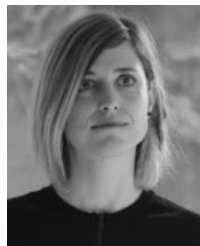
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**JUAN CLAVER** received the M.Sc. and Ph.D. degrees in advanced manufacturing engineering from National Distance Education University (UNED), Spain, in 2012 and 2016, respectively. He is currently a Professor with the Department of Manufacturing Engineering, UNED. His current research interests include the opportunities and new applications of additive manufacturing technologies in different scenarios and the optimization of these processes, and also on the application of multi-criteria decision making methods in a very different context, what includes additive manufacturing.



**ANA MARÍA CAMACHO** received the M.Sc. degree in industrial engineering from the University of Castilla-La Mancha (UCLM), in 2001, and the Ph.D. degree in industrial engineering from National Distance Education University (UNED), Spain, in 2005. She is currently a Professor with the Department of Manufacturing Engineering, UNED. Her main research interests include the innovation in manufacturing engineering and materials technology, especially focused in analysis of metal forming and additive manufacturing techniques through computer aided engineering tools and experimental testing, and development of methodologies for materials selection in demanding applications.



**AMABEL GARCÍA-DOMÍNGUEZ** received the M.Sc. degree in architecture from UPM, in 2009, and the M.Sc. and Ph.D. degrees in advanced manufacturing engineering from National Distance Education University (UNED), Spain, in 2015 and 2019, respectively. She is currently a Guest Professor with the Department of Manufacturing Engineering, UNED, and an Associate Professor with Universidad Nebrija. She also developed her own brand as a Designer. Her main research interests include the optimization of parts produced by additive manufacturing through methodological approaches and within massive customizing strategies.



**MIGUEL A. SEBASTIÁN** received the M.Sc. degree in industrial engineering and the Ph.D. degree from the Technical University of Madrid (UPM), in 1976 and 1980, respectively. He is currently a Full Professor with National Distance Education University (UNED), Spain. He is the author of numerous works and scientific-technical articles in the areas of industrial production, manufacturing engineering, and industrial heritage. He has participated in Research Projects funding by the Department of Science and Innovation of Spanish Government.

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